

Sonnenschein
SONNENSCHN NATH & ROSENTHAL

OFFICIAL

One Metropolitan Square

Suite 3000

St. Louis, MO 63102

314.241.1800

314.259.5959 fax

www.sonnenschein.com

Chicago

Kansas City

Los Angeles

New York

San Francisco

St. Louis

Washington, D.C.

West Palm Beach

Facsimile Transmittal Sheet

DATE November 11, 2003

PLEASE DELIVER THE FOLLOWING PAGES TO:

NAME William C. Choi

FAX 703.872.9318

CLIENT/MATTER 09792909-4679

FROM David E. Crawford, Jr.

TIME SENT

TOTAL NUMBER OF PAGES TRANSMITTED, INCLUDING THIS SHEET:

22

I hereby certify that this paper is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

David E. Crawford, Jr.

Date

11 NOV 03

Type of Paper transmitted: Information Disclosure Statement

Applicant's Name: Keeichi NITO et al.

Serial No. (Control No.): 09/711,651

Examiner: William C. Choi

Filing Date November 13, 2000

Art Unit: 2873

Application Title: LIGHT MODULATION APPARATUS AND IMAGE PICKUP APPARATUS, AND DRIVE METHODS THEREOF

Original will NOT be mailed

CONFIDENTIALITY NOTE

The documents accompanying this facsimile transmission and the Facsimile Transmission Sheet contain information from the law firm of Sonnenschein Nath & Rosenthal which is confidential or privileged. The information is intended to be for the use of the individual or entity named on this transmission sheet. If you are not the intended recipient, be aware that any disclosure, copying, distribution or use of the contents of this facsimiled information is prohibited. If you have received this facsimile in error, please notify us by telephone immediately so that we can arrange for the retrieval of the original documents at no cost to you.

IF YOU DO NOT RECEIVE ALL OF THE PAGES ABOVE, PLEASE CALL 314.241.1800 AS SOON AS POSSIBLE.

SN&R FACSIMILE DEPARTMENT USE ONLY:

TRANSMISSION COMPLETED AT:

DOCUMENT TRANSMITTED BY:

Attorney Docket No. 09792909-4679

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

OFFICIAL

In re Patent Application of:) Group Art Unit: 2873
)
Keeichi NITO et al.) Examiner: William C. Choi
)
Application No.: 09/711,651)
)
Filed: November 13, 2000)
)
Confirmation No.: 7866)
)
For: Light Modulation Apparatus and Image)
Pickup Apparatus, and Drive Methods)
Thereof)

RECEIVED
CENTRAL FAX CENTER

NOV 12 2003

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
BEFORE MAILING DATE OF EITHER A FINAL ACTION
OR NOTICE OF ALLOWANCE (37 C.F.R. § 1.97(c))TIME OF TRANSMITTAL OF ACCOMPANYING
INFORMATION DISCLOSURE STATEMENT

The information disclosure statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in Section 1.491 in an international application or after the mailing date of the first Office action on the merits, whichever event occurred last but *before* the mailing date of either a final action under Section 1.113 or a notice of allowance under Section 1.311, whichever occurs first.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

Applicants submit an AMEX in the amount of \$180.00 to cover the fee set forth in 37

C.F.R. § 1.17(p) for submission of an information disclosure statement under § 1.97(c).

11/12/2003 SSESHE1 00000143 09711651

01 FC:1806

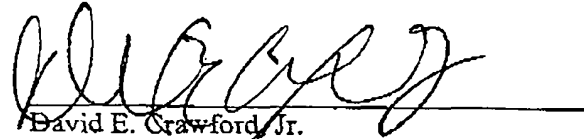
180.00 DP

The Commissioner is hereby authorized to credit overpayments or to charge any deficiency in a required fee to Deposit Account No. 19-3140. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Dated: November 11, 2003

By:



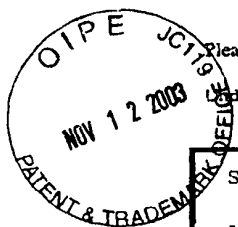
David E. Crawford, Jr.
Registration No. 28,118
Customer No. 26263

PTO/SB/08B (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of info unless it contains a valid OMB control no.

Please type a plus sign (+) inside this box ☐

Substitute for form 1449B/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	09/711,651
		Filing Date	November 13, 2000
		First Named Inventor	Keeichi NITO
		Group Art Unit	2873
		Examiner Name	William C. Choi
		Attorney Docket Number	09792909-4679
Sheet	1	of	1

OTHER ITEMS - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	1	DWIGHT W. BERREMAN, Alignment of Liquid Crystals by Grooved Surfaces, <i>Molecular Crystals and Liquid Crystals</i> , 1973, Vol. 23, pp. 215-231.	

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² Applicant is to place a check mark here if English language translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.